

Exceptions Committee Log for Janaury 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Consi-dered	Decision	Rationale	Comments
A Special Place	Laura Warner	7/17/2003	42cfr483.430	Seeks waiver of QMRP	8/6/2003	denied	AA -advertising - 9credits in human services	
Adams, Lawrence	Same	7/28/2001	10-5.190	to disqualifying crimes of robbery, attempted murder and burglary	murphy	Approved with condition	1977 - has worked in youth ADA work 7 years	employment mentioned in request, I.e. CSW at New Beginning C-
Arthur Center	Bill Rudroff	9/22/2003	10-7.140(2)	Lacks masters degree in related field to be QMHP	10/17/2003	Denied	QMHP requires education stipulated by the rule	Masters degree is related to Corrections
Affiliated Court Services, Inc.	Jerry Cloud	10/3/2001	10-5.190	Disqualified due to crime of 1st degree robbery	11/2/2001	Approved	32 years since crime	
Archway Communities	Milton Sanders	7/1/1999	10-5.190	Seeks waiver due to crime of felony assault	8/6/1999	Approved with conditions		Must be reviewed in one year. Monitor by survey.
Archway Communities	Milton Sanders	10/27/2000	10-5.190	Seeks extension of waiver granted 8/6/99 under 10-5.190	11/9/2000	Decision postponed	More information requested	
Archway Communities	Milton Sanders	10/27/2000	10-5.190	waiver granted 8/6/99 under 10-5.190	3/2/2001	Approved with conditions	Additional infromation was received	Must be reviewed in one year.

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Archway Communit	Milton Sanders	15-Mar-02	10-5.19045-3.010 & 453.020	Seeks extension of waiver granted 8/6/99 under 10-5.190. Waiver was extended 3/02/01	4/5/2002	Approved for one year with same conditions as last year.	Waiver limited to 1 year because applicant is still on parole.	
Archway Communities	Milton Sanders	2/18/2003	10-5.190		3/7/2003			
Arthur Center	Ryan R. Guffy	1/29/1999	30-3.850 (55)	Qualifications for CSW	2/5/1999	Approved		
Arthur Center	N/A	12/9/1999	30-4.039 (3)	Seeks waiver to serve clients outside service area	1/7/2000	Not approved	would not be subject to same standards as principle provider in service area	Arthur Center has been unable to secure agreement with principle provider in service area
Arthur Center – Options Unlimited	Jody Rackers	4/8/1999	None cited - see comments	Seeks waiver due to DFS record of abuse	5/14/1999	Approved		Relates to hiring persons found by DFS to have committed abuse
Audrain Medical Center	Bill Rudroff	8/6/2001	30-4.030	Waiver qualifications for QMHP	9/7/2001	Not approved	degree and experience not adequate of role of QMHP	
Audrain Medical Center	Kelly Clark	10/16/2002	30-4.034(2)(H)1	Agency believes employee lack correct degree for CSW		No Exception necessary	Combination of education and experience is adequate	
Bethesda Luthern Home and Services	N/A	12/28/1999	40-1.015 (2)(PP)	Seeks waiver to have four residents in living	1/7/2000	Approved	Home has 4 bedrooms	Rule define SIL as having 3 residents per living unit

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Better Family Life	Amir Aziz, Margaret deBrown, Erick Kilpatrick,	3/9/2001		Staff do not meet required qualifications	4/6/2001	Decision postponed pending receipt of additional information		
Boys and Girls Club of Greater KC	all part time prevention workers	4/13/2001	30-3.360(4)(D)	Staff do not meet required qualifications of prevention workers	5/4/2001	Decision postponed		Division of ADA to solicit more information.
Boys and Girls Club of Greater KC	N/A	5/30/2001	30-3.360(4)(D)	wants to exempt all part time prevention staff from required	6/1/2001..	Approved with conditions	Many staff work only a few hrs wk	ADA to rewrite rule
Butterfield Youth Services	Donald Lee Pummil	5/13/1999	10-5.190	Seeks waiver due to crime of felony assault.	6/4/1999	Approved		
Bridgeway Counseling Services, Inc	Agnes Bass	3/6/00 & 3/24/00	30-3.850 (52)	Seeks waiver of bachelors degree requirement to be CSW supervisor	4/7/2000	Denied	no evidence of related education except continuing education	
Cass County Community Living	Carla Talcot	10/5/2001	40-1.105(2)(L)	Lacks bachelors degree as required for QMRP	11/2/2001	No decision	Insufficient information re education	more info regarding past and current courses
Cass County Community Living, Inc	Carla Talcott	1/16/2002	45-3.020(1)(N)	Unqualified employee to be QMRP	2/1/2002	Denied	Responsibilities and perogatives of OMRP require degree as in	This is follow-up to November request

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CCCL (Cass County Community Living, Inc.)	Dr. Paul R. Hicks	2-Apr-02	45-3.010 & 45-3.020	Employee lacking degree to be QMRP	4/5/2002	denied	Some college but almost no coursework in related field	Rec'd doctoral degree in counseling from non accredited college without coursework
Cass County Community Living	Paul Hicks	4/30/2002	45-3.020.	Lack education to be QMRP	5/3/2002	Denied	Lacks adequate academic background	Has only a few hours in human service field
Castlwood Treatment Center	N/A	Rec'd 7/6/00	40-4.155	Seeks waiver of 2nd exit from bottom floor	7/7/2000	Decision deferred	Need input from state fire marshal and more info on structure	More info requested 7/12/00
Castle-wood Center	N/A				8/4/2000	Appr'd	Fire marshal office approved	
Central States Mental Health Consultants, Inc.		4/11/2003	10-7.120 (8)(C).	Wants waiver of 2 exit rule	5/2/2003	Approved with condition	Subsection D provides exception if meet certain conditions	
Century Pines	David Clopp	12/13/2001	10-5.190	Seeks waiver due to crime of 2nd degree robbery	1/5/2001	No decision	Since facility is licensed by Aging, it needs waiver from Aging	DMH will consider with additional information
Children's Therapy and Early Education School	N/A	10/31/2000	40-9.075(14)	Seeks use of volunteers under age 16 and from A+ program	12/1/2000	Denied	A+ students can participate up until graduation.	

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Clark, Julie		6/9/2003	10-5.210	Disqualified due to vehicular homicide	7/11/2003	Approved	crime - happened thirteen years ago -	
Clayton Concepts	Wilford Ivy	7/31/2000	10-5.190	First degree assault	8/4/2000	Postponed		Ask for additional information
Clayton Concepts	Wilford Ivy	8/17/2000	10-5.190	First degree assault	9/1/2000	Approved	Crime was violent but in distant past	Condition: Employment limited to Clayton Concepts
CMHC, Inc		12/23/2002	10-7.120	Wants waiver of rule requiring 1 restroom for each sex.	1/3/2002	Approved with conditions	Has 2 restrooms but only 1 is accessible. Only four clients in building at a time	Applies to Dade County Pshcyological Services. both bathroom are utilized and problems will be monitored
CMHC	Kendall Stroer	3/19/2004	30-4.034(2)(H)1	Needs waiver of CSW qualificaitons	3/5/2004	Denied	Nothing in background to compensate for deficits in education and experience.	
Community Alcohol and Drug	John G. Bucher	12/30/1998	10-5.190	Second degree burglary	1/8/1999	No exception necessary		Crimes not disqualiying
Community Corrections	N/A	1/26/2000	30-3.060	Placement of 8 in a bedroom	2/4/2000	Approved	Not possible to enforce this rule in a corrections setting	Facility is not funded by DMH and is not operated by DOC. Is a county facility.

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Community Counseling Center	Carol Menz	10/14/2001	10-7.140 (2)(PP)	Lacks educational requirements for QMHP	11/2/2001	Denied	Responsibilities and privileges of QMHP requires masters level education	
Community Mental Health Center	Johnette "Josie" Davidson	2/14/2002	10-5.190	Committed felony endangerment of child in 1997	3/1/2002	No decision	Lack authority - Statute does allow appeal of this crime	
Community Support Assistance Program	Crystal Williams		10-5.190	Needs exception due to crime of assault	6/1/2001	Provisional approval	Crimes in distant past. Insufficient information for final decision. No fault of applicant.	Will finalize when more information is received
Community Support Assistance Program	Glenna Wright		10-5.190	Needs exception due to crime of burglary	6/1/2001	Provisional approval	Crimes in distant past. Insufficient information for final decision. No fault of applicant.	Will finalize when more information is received
Community Support Assistance Program	Kenneth Stephens		10-5.190	Asks for exception due to crime of illegal possession of weapon	6/1/2001	No decision	Crime is not disqualifying	
Comprehensive Mental Health Services, Inc.	Ronald James	1/7/1999	10-5.190	Seeks waiver due to crime of 3rd degree assault	2/5/1999	No exception necessary		Crimes committed not disqualifying

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Comprehensive Mental Health Services, Inc.	Hester Ladd	1/7/1999	10-5.190	Seeks waiver due to DFS report of abuse	2/5/1999	No exception necessary		abuse not per se disqualifying
Comprehensive Mental Health Services	Luther Dawson	12/18/2000	10-5.190	Seeks waiver due to crime of first degree assault	1/5/2001	Request additional information		Employment history was lacking
Comprehensive Mental Health Services	Luther Dawson	5/26/2001	10-5.190	Seeks waiver due to crime of first degree assault	5/4/2001	Approved	Crime occurred in 1980.	
Comprehensive Mental Health Services	Marsha Green	12/15/2000	10-5.190	Seeks waiver due to crime of first degree robbery	1/5/2001	Request additional information		Employment history was lacking
Comprehensive Mental Health Services	Marsha Green	12/15/2000	10-5.190	Seeks waiver due to crime of first degree robbery	3/2/2001	Approved	Additional information was received	Disqualifying offense in 1974. Off parole in 1993
Comprehensive Mental Health Services, Inc.	James Stephens	5/30/2002	10-5.190	Disqualified due to burglary 2 in 1980	6/7/2002	approved	Crime 20 years old. Has received treatment	Usual lconditions
Comprehensive Mental Health, Inc.	Michael Davis	10/10/2002	10-5.190	Agency believe person disqualified due to felony stealing		No Exception necessary	Crime is not disqualifying	Charge of 1st robbery was reduced to felony stealing
Comprehensive Health Systems, Inc.	N/A	9/26/2002	40-10.045	Wants waiver of requirement to ventilate restrooms	10/4/2002	Approved for one year	Premesis to be used on temporary basis	Said will deoderize restrooms by other rooms

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Comprehensive Health Systems, Inc.	N/A	9/26/2002	40-10.055	Wants waiver of dishwashing facilities	10/4/2002	Approved for one year	Premises to be used on temporary basis	Said will not prepare food onsite
Comprehensive Mental Health	Donald Smith	11/6/2003	10-5.190	Committed drug related crimes	11/7/2003	Not valid request	Crimes are not disqualifying	
Comprehensive Mental Health	Tom McGee	11/6/2003	10-5.190	Committed first degree arson	11/7/2003	denied	Still on probation	
Comprehensive Community Mental Health Center	Patricia Shell	11/20/2003	10-5.190	On dmh disqualification registry due to Class I Neglect	12/5/2003	Postponed	Criteria for this type of waiver not ready	
Comprehensive Mental Health Services	Thomas McGee	12/8/2003	10-5.190	Committed first degree arson	12/29/2003	approved	Crime was in 1999. Released from probation	Crime was a domestic matter
Comprehensive Health Systems, Inc.	N/A	1/26/2004		waive dishwashers and restroom ventilation	2/6/2004	Approved for 1 year		
Comprehensive Community Mental Health Center	Patricia Shell	11/20/2003	10-5.190	Inappropriate client employee relationship and failure to provide necessary services	12/29/2003	Denied		
COMTREA Community Treatment, Inc.	Barry French	11/23/1998	10-5.190	1st degree assault and 1st degree arson	1/15/1999	Approved with conditions		ADA programs only. Monitor by survey. May reapply in 12 months

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COMTREA	Brechanna Bell	4/17/2002	10-5.190	Committed assault in 1978	5/3/2002	Approved with condition	Crime 34 years ago	Limited to ADA clients
Cori Manor Nursing Center	N/A	6/1/1999	40-3.115	requirement for a person trained in CPR and First Aid if nurse from attached ICF is available in 3	7/2/1999	Denied		
Country Valley Home		6/16/2003	40-3.115(3)	Waive requirements for CBC and UA	7/11/2003	requested more information	More info need about how to compensate for waived preventive health measures.	
Country Valley Home		8/5/2003	40-3.115(3)	Requests waiver of unanalysis and cbc because medicaid will not reimburse	9/5/2003	approved with conditions	Other practices promote early diagnosis.	Residents of RCF are relatively high functioning
Cox Health Systems	Gene Davison	9/27/2001	30-3.850	To allow employee to serve as CSW even though not qualified under rule	10/5/2001	Deferred	Not enough information re: employee's education and experience	
COX Health Systems	Gene Davison	10/3/2001	30-3.850	Lacks education for CSW	11/2/2001	Denied	education and experience not adequate	AA degree only and only four courses related to MH field

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Cox Medical Center	Gene Davison	5/1/2003	30-3.850	Lacks qualifications for CSW	5/2/2003	Denied	Lasks education and/or experience to perform responsibilities	Will be technically eligible in 6 months
Creative Supports	Alice Ashley	5/23/2002	45-3.020	Lack necessary degree for QMHP	6/7/2002	postpned	Request complete and official transcript	Degree is in EI Education
Creative Supports, Inc.	Alice Ashley	6/28/2002	45-3.020	Employee does not have degree in related subject as required for QMHP	8/2/2002	Denied	No official verification of graduation	Committee offered to reconsider if employee submits documentation of teacher certification
Creative Supports	Alice P. Ashley	8/20/2002	45-3.020	Education degree does not qualify for QMHP	9/6/2002	approved	DMH accepts Education degree by wan of exception	
Crider Center	James M. Reudin and Christine E. Cressler	2/26/2000	4.030(2)(Z)	A QMRP and QSAC wish to be recognized as QMHP	3/3/2000	Denied	No masters degree - may have negative effect on outcome	
DART	Rudolph Williams	11/28/2001	10-5.190	Disqualified from employment due to crime of first degreee assault	12/7/2001	no decision	No Criminal Record History was included in the request	

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DART, Inc.		8/15/2002	30-3.120	Waive nurse in modified med detox program	9/6/2002	denied	Clients are fragile. Great medical needs	If no need for nurse it's not medical detox
DART, Inc.	Wallace McTyer	8/19/2002	10-5.190	committed assault in 1957	9/6/2002	posponed	Requested more information	
DART, Inc	Wallace O. McTyer	9/17/2002	10-5.190	Disqualification due to crime of 2nd degree assault	11/1/2002	approved	Elderly person - crime in distant past	Crime in 1957
Dee Dehghani	N/A	8/9/1999	40-4.155 (6)	Lacks adequate fencing around swimming pool	9/3/1999	Approved with conditions		Exception valid for current resident only. Monitor by survey.
Dept of Corrections		6/10/2003	30-3.160 (K) and (L)	Counseling requirments	7/11/2003	Denied	counseling requirements are minimum need for this	
DHSS	Nancy Howard	2/3/2004	10-5.200	On DMH disqualification registry due to Class I neglect ion 1993	2/6/2004	Recommen ded DHSS approved	Strong mitigating circumstances	
DHSS	Eleanor Washington	2/3/2004	10-5.200	On DMH disqualification registry due to physical abuse in 1997	2/6/2004	Recommen ded DHSS deny	Several instances of slapping, kicking, hair pulling	Date rec'd by DHSS unknown

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DHSS	Tracy Miller	2/3/2004	10-5.200	On DMH disqualification registry due to physical abuse in 1996	2/6/2004	Recommended DHSS approved with conditions	Mitigating circumstances. Exception does not apply mental health clients	Date rec'd by DHSS unknown
DHSS	Evlyn Thompson	2/3/2004	10-5.200	On DMH disqualification registry due to	2/6/2004	Recommended DHSS deny	Failed to do CPR because of client's age	Date rec'd by DHSS unknown
DHSS	Lisa Moore	3/25/2004	10-5.200	On DMH disqualification registry due to	3/5/2004	Recommended denial	No evidence that injury was not purposeful	
DHSS	Steven Brown	3/25/2004	10-5.200	On DMH registry due to 11 counts of class II neglect	3/5/2004	Recommended denial	No mitigating circumstances or sign of	
DHSS	Caroline Brown	5/6/2004	9 CSR 10-5.190	On DMH registry due to physical abuse	6/4/2004	Recommended denial	Finding of abuse was persuasive - abuse was not reactive-	perpetrator still denies
Doctors Regional Medical Center	James Regions	5/5/1999	None cited - see comments		6/4/1999	No exception necessary		J. Regions has qualifications of QSAC under CSTAR rules
Doctors Regional Medical Center	Julie Hanner	12/11/1998	30-3.3080	Eligibility requirements for counselor	1/15/1999	Approved with conditions	Working on Masters - strong agency endorsement.	12 core functions training required. Monitor by survey

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Doctors Regional Medical Center	Julie Hanner	12/22/1999	30-3.850 (25)	Eligibility requirements for family therapy	2/4/2000	No decision	Request did not indicate if practicum and internship were related to family tharay	
Doctors Regional Medical Center	James Regions	12/22/1999	30-3.850 (25)	Eligibility requirements for supervisor of family therapists.	2/4/2000	Approved	Many years of experience offsets lack of supervision	
Doctors Regional Medical Center	Susan O'Brien	2/15/1999	30-3.850 (56)	Employee lacks college degree need to be CSW supervisor	3/5/1999	Approved		
Doctor's Regional Medical Center	Earnie D. Wilson	7/7/2000	30-4.034	Waiver needed to do comm. Support without BA.	8/4/2000	No decision	Inade-quate informa-tion	
Drug & Alcohol Rehabiltiaon & Treatment (DART)	Rudolph Williams	2/14/2002	10-5.190	Committed 1st degree assualt in 1986	3/1/2002	Approved but limited ot DART	No new crimes. Chared AA meetings. Good employment at DART about 18mos	Rec'd SIS. Record with highway patrol shows no sign of crime
Division of Alcohol & Drug Abuse	Michael Couty	5/4/2004	30-3.206(1)(A)	Pilot project needs waiver of requirement of Offender Education Prgram	5/6/2004	approv ed	Repeat offenders in thepilot not appropriate for the OEF	

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Enbless Options	Bill Nodder	2/10/2003	45-5.010	Subject lacks diploma	3/7/2003	No jurisdiction	Requirement for diploma or GED is in an interpretative guideline -not rule	Issue referred to L&C unit and CMRC
Family Counseling Center of Missouri	Doctoral students	2/1/1999	30-3.080	students without experience working with children to be children's' therapist.	3/5/1999	Approved		
Family Counseling Center of Missouri, Inc.	general	8/6/2001	30-3.851 (5)	wave bachelors requirement to run day care and provide education groups if have CDA credential	9/7/2001	Not approved	CDA training to provide education groups for children	Request was for blanket waiver - was not individualized. Waiver not need to supervise day care program at this
Family Counseling Center, Inc.	Susam Oldham	4/26/2002	30-4.032	Employee lack 2 yrs experience necessary to be Youth CPR supervisor	5/3/2002	Postponed	Resume was vague about prior experience as supervisor	Requested add'l information re past supervisory experience
Family Counseling Center		8/11/2003	40-10.155(18)	Day program activity room lack outside window	9/5/2003	approved with conditions	Other features of building promotes fire safety	Fire marshall inspector deferred to EC
Family Guidance Center	Andrew Allen Goff	2/19/1999	10-5.190	Seeks waiver due to crime of 2nd degree robbery	3/5/1999	Approved with conditions		ADA programs. Monitor by survey and ADA staff

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Family Guidance Center	Roberta Rauman	3/22/1999	30-.080	Eligibility requirements for CSW supervisor	4/7/1999	Denied	Education and experience did not justify.	
Family Guidance Center	N/A	6/4/1999	30-3.850 (2)(A)	To mix C-Star clients with other ADA programs	11/5/1999	No exception necessary	Current mix of clients not in violation of rule	
Family Guidance Center	Karen Blythe	3/31/2000	30-4.034	To be CSW supervisor wwithout bachelors	4/7/2000	Denied	Inadequqate education and experience	LPN but no college
Family Guidance Center	Janelle Howard	3/30/2000	30-4.034	To be CSW supervisor wwithout bachelors	4/7/2000	Denied	Inadequqate education and experience	LPN but no college
Family Guidance Center	Maureen P. OP'Rourke	2/1/2002	10-7.140	Lacks qualifications for qualified substance abuse counselor	3/1/2002	Approved	Combination of educations and seven years experience in field	
Family Guidance Center	N/A	2/1/2002	30-4.039	Continue servicing outside geographical using Families First match	3/1/2002	Approved	DMH asked agency to committ to this practice	
Family Guidance Center	Myrand Gearhar	2/13/2002	30-4.030	Needs approval to by QMHP to meet academics requirements	3/1/2002	Approved only for severa months as needed for degree	Well supervised. Julie Carel will advise agency of limitations	

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Family Guidance Center	Heather McBee	02/28/02 (fax date)	30-4.030	Needs approval to by QMHP to meet academics requirements	3/1/2002	Approved only for several months as needed for degree	Well supervised. Julie Carel will advise agency of limitations	
Family Counseling Center, Inc.	Susan Oldham	5/23/2002	30-4.032	Lack adequate supervisory experience to be Youth Program director	6/7/2002	approved	Will gain necessary experience on this job	
Family Guidance Center	Julie Klenk	5/14/200	30-4.034(2) (H)	To allow employee to provide youth community support -	8/2/2002	Denied	Education and experience inadequate for these positions	Employee has no degree and inadequate experience
Family Guidance Center	Tammy Gorman	6/3/2002	30-4.034(2) (H)	To allow employee to provide day treatment group of yout community support -	8/2/2002	Denied	Education and experience inadequate for these positions	Employee has no degree and inadequate experience
Family Guidance Center	Michael Barnett	10/28/2002	30-4.030	Wants employee to be QMHP during practicum for masters		Approved	a 'limited capacity" waiver	CPS to dictate limits of waiver
Family Guidance Center	Kelly Lenhart	4/15/2003	30-3.100(7)	Wants CSAC I to do diagnosis	5/2/2003	Denied	Statute relagates diagnosis to specific professions	

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Friends With A Better Plan	N/A	11-Mar-02	30-3.300	To allow students without degree to implement prevention programs	4/5/2002	postponed	There was confusion about whether agency had been given previous waiver	
Friends With A Better Plan	N/A	11-Mar-02	30-3.300	To allow students without degree to implement prevention programs	7/5/2002	approved	Agency has acceptable training and supervisory plan	ADA intends to revise rule to allow this without waiver
Gasconade County Counseling services	N/A	11/27/2002	10-7.190	Provider seeks waiver of incorporation requirment	12/12/2002	denied	Provider did not provide information as to why waiver is necessary	
Gateway Foundation	Martin Kracht	8/30/1999	10-5.190	Seeks waiver due to crime of stealing	11/5/1999	No exception necessary	Stealing not a disqualifying crime	
Gateway Foundation	Clay Hyde	8/30/1999	10-5.190	Seeks waiver due to crime of assault,	11/5/1999	Approved with conditions	violent but in distant past and has recovery history	Approved for employment with current employer
Gateway Foundation – St. LouisCommunity Release Center	N/A	12/10/1998	All of 30-3.	Requests waiver from numerous requirements that are not appropriate in an institutional corrections setting	1/15/1999	Approved		

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Gateway Foundation	Clay Hyde	6/15/1999	10-5.190		6/12/1999	No decision		In 6-99 committee ask for additional information
Gateway Foundation	Martin D. Kracht	6/15/1999	10-5.190		6/12/1999	No decision		In 6-99 committee ask for additional information
GFI	Antonio Plair	5/20/1999	10-5.190	Seeks waiver due to crime deceptive practice	6/4/1999	No exception necessary		Crime not disqualifying
GFI	Barry Morris	5/20/1999	10-5.190	Seeks waiver due to crime of robbery	6/4/1999	Approved with conditions		Exception applies only to CPS and MI clients. Monitor by survey.
GFI	Keith Faison	5/20/1999	10-5.190	Seeks waiver due to crime of sale of cocaine	6/4/1999	No exception necessary		Crime not disqualifying
GFI	Jerome West	5/20/1999	10-5.190	Seeks waiver due to crime 1st degree robbery	6/4/1999	Approved with conditions		only to CPS and MI clients. Monitor by survey.
Giant Step	Sylvia Lewis	7/24/2000	10-5.190	Seeks waiver due to DFS finding of abuse/neglect	8/4/2000	No decision is necessary	Decision not needed for DFS finding of neglect	Statutes & rules do not disqualify based on DFS finding of abuse.
Gibson Recovery Center	Barb Stribling		N/A	Lacks experience to complete ISAPs		No decision necessary	Requirement is contratual not by adminstrative rule.	

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Gibson Recovery Center	N?A	9/11/2003	10-7.120	Restroom not barrier free	10/17/2003	Approved with conditions	Conditions: alternative site for disabled; not a waiver of federal regs; limited to 2 years -no renewal	
Gibson Recovery Center		12/27/2004	10-7.120(3)	Seeks waiver of restroom and accessibility requirements	2/6/2004	Approved with conditions	GBC to arrange for transportation of handicapped clients	
Greater Kansas City Foundation	Katherine Sands	6/30/2003	42CFR483.430	QMRP requirments	7/11/2003	Denied	No degree. Little course work in humans service field.	
Habcare	Delores Simmons	8/19/2002	10-5.190	Has robbery 1 conviction in 1996	9/6/2002	denied	Violent crime - and serves DD clients in their own home.	Watch for precedent. Requestor was terminated after 5 yrs.
Hannibal Council on Alcohol and Drug Abuse	Kathy Bolte	11/29/1999	30-3.850 (53)	Seeks waiver to have both clinical and CSW duties	1/7/2000	Approved	Clinical duties and CSW duties will not overlap	
Hannibal Council on Alcohol and Drug Abuse	Virgina Bohan	1/11/2000	30-3.850(25)	Seeks waiver to be family therapist while lacking some of the qualifying experience	2/2/2001	Approved until 9-1-2001	Conditions: No In-home family therapist and supevision twice weekly	Documented supervision

Exceptions Committee Log for Janaury 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Hannibal Council on Alcohol and Drug Abuse	Registered Nurses	5/28/2002	30-3.190	Cannot recruit nurse as required by rule	6/7/2002	denied	Nurse considered essential and included as contract requirement	
Hannibal Council on Alcohol and Drug Abuse		12/12/2003	30-3.190	Seeks waiver day care licensure by DHSS	12/29/2003	Postponed	Incomplete/inc onsistent information	
Hannibal Council on Alcohol and Drug Abuse			30-3.190	Seeks waiver day care licensure by DHSS	2/6/2004	Denied	Exemption by DHSS appears based on incorrent information	
Hannibal Council on Alcohol and Drug Abuse			30-3.190	Seeks waiver of day care licensure by DHSS	3/5/2004	Approved	New letter from DHSS clearly exempts	
Halliburton Hills Group Home	Marsha Murphy	8/9/2000	10-5.190	Seeks waiver due to crime of armed robbery	10/6/2000	Denied	Released in june-99. No record to persuade crime won't be repeated	See Preferred Family Healthcare - same person.
Halliburton Hills Group Home	Marsha Murphy-Woodside	6/28/2002	10-5.190	Committed armed robbery in 1994	8/2/2002	Postponed	Will seek additional information	Also see Marsha Murphy with no provider listed

Exceptions Committee Log for January 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Independence Center	Ethan Balis, Kelly Hughes, Tom Solari	11/25/2002	30-4.034	Subject do not meet requirements for CSW because degree not in related field	12/12/2002	approved	Subject have college degree and some experience	
Jackson Group Home	Debra J. Hudson	3/27/1999	40-2.075	home is guardian of resident	5/14/1999	Denied		Request exceeds committee's authority
Jasper County Support Services #4	Jeffery Tate	10/5/2001	10-5.190	Committed crime of felony stealing	11/2/2001	No decision	Crime is not disqualifying	
Johnson, Randall								
Joplin Regional Center	Steve Hood	1/24/2001	10-5.190	2nd degree assault	2/2/2001	Approved with condition	for current client only - Scoot Hettinger	
Kansas City Community Center	N/A	2/8/2000	30-3.060, 3.200, 3.240, 3.250	Request exception from entirety of the four rules listed	3/3/2000	Approved	Program located in corrections facility and subject to Correction's	
Kansas City Community Center	N/A	5/30/2000	30-3.060, 30-3.240, 30-3.250	Request exception from the three rules listed	7/7/2000	Approved	Program located in corrections facility and subject to Correction's	

Exceptions Committee Log for January 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Kansas City Community Cent		7/30/2002	30-3.110(9)(B)	Wats waiver to serve up t 16 in group counseling group	9/6/2002	approved	Rule limits 12 to a group but contract envisions 16.	
Kansas City Community Center	Elmer R. Davis	6/1/2001	10-5.190	Committed first degree robbery in 1968	7/6/2001	No decision	Submitted personal letter only	We will ask for additional information
Kansas City Community Center	Elmer Davis	9/24/2003	10-5.190	Disqualified due to crime of first degree robbery in 1968	10/17/2003	Secure more information	Criminal History reocord dated 1998	
Kansas City Community Center		11/10/2003	30-3.120	Wants waiver of rule requiring 2 staff on duty	12/5/2003	Denied	Cannot count volunteers as staff	
Kansas City Regional Center	Rebecca Smith	11-Mar-02	45-3.010	Administrator lack degree to be QMHP	4/5/2002	denied	Applicant has no college hours	
Kirksville Regional Center	Garry Billington	11/28/2000	10-5.190	Needs exception due to crime of burglary	12/1/2000	Approved	Crime occurred in 1964 - no subsequent record	
La Belle Manor Care Center	N/A	6/25/2001	40-3.115(7)	Lacks fencing around propane tank	7/6/2001	No decision	Fencing not necessarily needed	Will consult with licensure staff prior to decision.
La Belle Manor Care Center	N/A	6/25/2001	40-3.115(7)	Lacks fencing around propane tank	8/7/2001	Approved for one year	Clients are elderly/ill -do not spend time outside	Note: rule may be revised
LaBelle Manor Care Center	N/A	14-Mar-02	40-3.115	To continue exception granted in 8/2001	4/5/2002	approved	No problems since Aug - 2001	

Exceptions Committee Log for January 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
La Belle Manor Care Center	N/A	1/23/2003	40-3.115	waive fencing around LP tank	2/7/2003	approved with conditions	Clients elderly - LP gas tank no threat	To be reviewed after inspection
LaBelle Manor Care Center	N/A	4/10/2003	40-3.115	Agency seeks waiver of fencing requirements	5/2/2003	Approved with condition	Not necessary given type of resident	
LaBelle Manor		3/5/2004	40-3.115	waiver of fencing requirements	3/5/2004	Approved		Contingent on survey
LaBelle Manor		3/5/2004	40-3.115	waiver of training requirements	3/5/2004	Denied	Training needed if staff will apply restraint	
Lafayette Huse	N/A	6/9/1999	30- 3.850 (32)	Exception requested to provider C-STAR services to children who enter	7/2/1999	Approved		
Lafayette Huse	Beth Cook	9/27/2000	30- 3.850(51)	Lacks bachelors degree as required for CSW position	10/6/2000	Approved	Will have degree by 1-01-01	
Lafayette House	Pam Giger	1/2/2001	30- 3.850(55)	Lacks bachelors degree as required for CSW position	1/5/2001	Approved with condition	Will have degree by 5/31/01	Exception expires 5/31/01
Lafayette House	Pam Giger	2/1/2001	30- 3.850(55)	Lack BA required for CSW position	2/2/2001	Approved with condition	Not in school	Expires 6/30/02
Lafayette House	Vonda J. Castillo	3/31/2003	30-3.110 (8)(A)2	Subject lack required 2 years experience to be co-dependency counselor	4/4/2003	Approved with conditions	Has at least 10 months experience	Will be supervised by qualified counselor for first year

Exceptions Committee Log for January 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
La Montagne	N/A	8/14/2000	40-4.155	Lacks firestop partition, fencing and exit signs	12/1/2000	Partially approved - partition only	Fencing is ok. Exit signs are needed esp since there is no partition	Delay due to efforts to consult with div of fire safety
Lakes Country Rehabilitation Center – Carol Jones Recovery Center for	N/A	7/9/1999	30- 3.850 (32)	Seeks waiver to offer CSTAR services to children without clinical review.	8/6/1999	Approved		Allows services to children ages 3&4 without clinical review
La Montagu	N/A	8/14/2000	40-4.155	Seeks waive of several fire safety requirements	9/1/2000	Posponed		Seeking consultation with fire safety and licensing
Leatherwood's Home for Children	Harry Easter	10-15-2001 and 10-24-2001	10-5.190		11/2/2001	Denied	prison insufficient to demonstate abiity to remain drug free	Released in 2-200; still on parole
Leatherwood's Childrens Home, Inc	Harry Easter		10-5.190	Reconsider last months request	12/7/2001	Denied	Same reason as last month	Note, may not need exception since provider is not certified, funded or licensed
Madison Ave. Recovery Services	N/A	8/29/2002	30-3.130	Wants waiver of requirement that each client attend counseling eacy week	10/4/2002	Requested add'l informaiton	Depending on type service offered, perhaps no exception necessary	Provider is certified buyt not funded by DMH

Exceptions Committee Log for January 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Madison Avenue Recovery Services	N/A	10/30/2002	30-3.130	Wants waiver of requirement that each client attend therapy weekly		No Exception necessary	Level of services does not require weekly attendance	
Mark Twain Area Counseling Center	Shelly McCarthy	1/5/1999	30-4.034	Eligibility criteria for community support worker	1/15/1999	Approved - 1 year		
Maryville Treatment Center	N/A	12/4/1998	All of 30.3	Requests waiver from numerous requirements that are not appropriate in an institutional corrections setting	3/5/1999	Approved		
McCambridge Center for Women	N/A	6/17/1999	30- 3.850 (32)	Exception requested to provide C-STAR services to children without clinical	7/2/1999	Approved		
McCambridge Center	Kelley Issacs	10/31/2002	30- 3.190(9)(A)	LPN to assume some nursing duties under RN supervision	12/6/2002	Approved	LPN has extensive experience	For three months
MCFAD	James Beverly	21-May-01	10-5.190	Has unspecified crime in background	6/1/2001	No decision	Insufficient information	

Exceptions Committee Log for Janaury 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
McFAD Managed Care for the Aging and Disabled	James Beverly	5-21-2001 and 6-07-2001	10-5.190	Disqualified due to crime of 1st degree robbery	11/2/2001	Approved	23 years crime	
Mid-Missouri MHC	Alma Tapp Treatment Home	12/27/2001	40-2.075(5)	Operated day care business on licensed premeses	2/1/2002	No decision	Need more info about staffing, use of space and confidentiality	
Mid Missouri Mental Health Center	Alma Tapp Treatment Home		40-2.075(5)	to operate day care business on premesis of foster family treatment home	3/1/2002	Approved	Provider well qualified. Has assistant. Day care not to use bedrooms	L&C unit can limit extent of day care
Mental Health Resources	Susan MacEachern	11/29/1999	30-4.030 (2)(GG)7	Seeks waiver to be authorized as QMHP	12/3/1999	Not approved	Master degree in liberal arts with emphasis in museum education. No evidence mental health studies.	It was noted that the definition of MHP does not recognize experience in lieu of education
Missouri Rehabilitaion Center/Crossroads Center	Melisande Statz	3/23/2000	30-3.840(7)(A)	Seeks exception from LCSW requirement	4/7/2000	Denied	Purvue of DED to waive LCSW rules	Has LMSW from Kansas
Morning Star Support Living Service, Inc.	colene Jackson	4/7/2003		Agency thinks	5/2/2003	Exception not necessary	Person technically eligible	

Exceptions Committee Log for Janaury 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
New Beginnings Treatment Center	N/A	7/5/1999	None cited - see comments	Two waivers requested (1) Service matrix for Alt Care Program ; (2) clinical reviews for Alt	8/6/1999	Approved		
New Beginnings Treatment Center	Stacy Kelley	1/31/2000	30-3.850 (52)	Seeks waiver to be qualified as Community Support Supervisor	2/4/2000	Denied	Education and experience are seriously deficient	Only has 6 months experience and work towards masters is related to "Human Resource management"
New Beginnings Treatment Center	Mr. Akyenaa Boadi	10/2/2000	30-3.890(12)(A)	Lack experience required for qualified counselor	10/6/2000	Denied	Not in background to compensate for lack of experience	
New Beginnings Treatment Center	Julia Powell	1/25/2001	10-5.190	Thought to be disqualified due to criminal history	2/2/2001	No decision	record revealed that person not disqualified	
New Beginnings Treatment Center	Lawrence Sutton	1/25/2001	10-5.190	Disqualified from employment due to crime of 2nd degree robbery	2/2/2001	Postponed	Need additional information regarding weapons	
New Beginnings Treatment Center	Lawrence Sutton	3/12/2001	10-5.190	Disqualified from employment due to crime of 2nd degree robbery	4/6/2001	Approved with conditions	Crimes were in 1985	Conditions: van driver only at present employer; and close supervision

Exceptions Committee Log for January 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
New Beginnings	Sheilah Williams	11/21/2001	10-5.190	Provider thinks employee is disquaified due to crime	12/7/2001	no decision	Client was not convicted of disqualifying offense	Client was charged with robbery but convicted of stealing
New Beginnings	Lawrence Adams	10/31/2002	10-5.190	Prior waiver was limited to current employment. Now wants		approved	Limited to new position	
New Beginnings	Sean Muhammad	3/26/2003	30-3.110 (11)(E)	Subject not eligible to be CSW because degree not in human service field.	4/4/2003	postponed	insufficient information	Subject has three names and committee needs detail re experience
New Beginnings	Chanter (Sean) Muhammad	4/18/2003	30-3.850	Lack full credentials for CSW	5/2/2003	Approved	Has degree (not related) and extensive experience	
New Beginnings	Lawrence Adams	3/19/2004	10-5.190	Needs exception due to crime of attempted murder, burglary and robbery	3/5/2004	No exception necessary	Exception for this position previously approved	This is to extend exception given in 2001
New Beginnings	Valerie Glenn	6/2/2004	9 CSR 10-5.190	Committed Robbery 2 in 1989	6/4/2004	Postponed	Requesting additional information	
New Horizons	Ray Austins	5/30/2002	45-3.020	Degree in Addiction Studies not considered by MRDD to meet requirement for QMHP	6/7/2002	approved	Has significant coursework in human service field	

Exceptions Committee Log for Janaury 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Consi-dered	Decision	Rationale	Comments
North Central MO Mental Health Services	N/A	6/28/1999	None cited - see comments	Use of lobby as group counseling space	8/6/1999	No exception necessary		
North Star Recovery Services	N/A	4/29/1999	30-3.830	to allow initial admission to Level-1 Primary Treatment-Non Residential even if client's ADA and GAF rating do not meet admission criteria	5/14/1999	Approved		
North Star Recovery Services	N/A	4/29/1999	30-3.840 (22) & (23)	Exception needed to allow operation ALT Care program under clinical review system of the C-Star	5/14/1999	Approved		
North Star Recovery Servicers	Megan Keller	5/23/2000	30-3.850	to allow pereson to provide codependence services even though she is lacking in required experience.	7/7/2000	Approved	because supervision to be provided person with doctorate and 20 years experience	Ms. Kellar has one year of experience but two years are required. Condition of approval is 1 hour/week face to face supervision.

Exceptions Committee Log for January 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
North Star Revocery		9/18/2003	30-3.190(4)(B)1.	Subject lacks degree in human service field to be Child Care Coordinator	10/17/2003	Approve	Years of experience as child care provider, counselor and teacher	Degree in Communications and Theatre
NorthStar Residential Care, Inc.	David North	5/1/2002	45-3.020.	Lack education to be QMRP	5/3/2002	Deneid	Lacks adequate academic background	Has only 12 college hours in human service field.
Ozark Center	N/A	8/9/1999	30-3.080	Waiver requested to authorize supervisor ratio of	9/8/1999	No exception necessary		Current staffing pattern is not in violation of rule
Ozark Correctional Center	Randall Owens	2/19/2001	3.890(12)(A)3	Lack college degree required for QSAC	4/6/2001	Denied	Committee has not received information as requested	Agreed to reconsider if information is received.
Ozarks Medical Center	N/A	8/5/1999	40-5.015 (6)	to use bedroom with a ceiling height with slope from 6'1" to 6'10"	11/5/1999	Denied	Rule is based on safety issue	
Ozarks Medical Center Behavioral Healthcare	Chris Gilliam	1/18/2002	10-7.140(2)(P)P)	Unqualified employee to be QMHP	2/1/2002	Denied	Responsibilities and perogatives of OMHP require degree as in	
Pathways	N/A	1/25/2000	3.060(3)(C)	Existing facility. DMH staff noted that the exits were "not remote"	3/3/2000	Approved	Facility has been approved by the fire safety authority	

Exceptions Committee Log for January 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Pathways	N/A	11/7/2000	30-3.853	House residents at DFS facility until 2/2000	12/1/2000	Approved	Temporary only - until 2/28/00	
Pathways	Dorothy Hankins	5/21/2001	30-3.850(51)	Lacks required college & experience to be CSW	6/1/2001	Denied	Education and experience significantly lacking	
Pathways	Rhonda Mosely	5/21/2001	30-3.850(51)	Lacks college and required experience to be CSW	6/1/2001	Denied	Education and experience significantly lacking	
Pathways Community Behaviora Healthcare, Nnc.	N/A	8/10/2001	30.300(5)	waiver of requirement of BA degree to be prevention specialist	9/7/2001	Approved	has substantial SA training + BA transcript shows 43	
Pathways Community Behavioral Healthcare, Inc.		11/12/2001	10-7.120(4)(A)5	One bathroom only in a outpatient facility	12/7/2001	Approved	facilities do have a large number of clients	Condition: Will be in compliance in 1 year
Pathways Community Behavioral Healthcare, Inc.	Lou Harris	7/8/2002	10-5.190	Committed 2nd degree assault	8/2/2002	Denied	ADA has agreement that providers not hire persons currently on probation	Completed rehab at Pathways in Feb 2001
Pathways Community Behavioral Health	Lou Harris	4/24/2003	10-5.190		5/2/2003	Approved		

Exceptions Committee Log for January 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Phoenix Programs Inc.	Howard Ross	10/1/2003	10-5.190	Disqualified due to crime of first degree first degree robbery in 1994	10/17/2003	with conditions (same employment)	pharmacy while on drugs. Did rehab and works in addiction field.	
Platte County Board of Services	Danelle Seaton	2/20/2002	40-1.015(2)(L) or 45-3.020(1)(N)	Does not meet qualification for QMRP	3/1/2002	Approved - on condition of continuing active pursuit of BA	Has 2 associates. Actively seeking bachelor degree and has 10 years relevant experience	
Preferred Family Healthcare	N/A	12/21/2000	30-3.853 (14)	Seeks waiver from rules regarding accessibility for handicapped	1/7/2000	Temporary approval	Area has accessible facility and no known need at this time.	Approved for two years to allow time to meet accessibility requirements
Preferred Family Healthcare	N/A	12/28/1999	30-3.853 (5)	Seeks waiver of ceiling height requirements	1/7/2000	Approved	House is to be used as designed; ceiling height deviation not	Ceiling heights in kitchen and family room are 7'8".
Preferred Family Healthcare	N/A	1/18/2000	30-3.950	Seeks waiver from barrier free rules.	2/4/2000	Approved with conditions	Renovations would be expensive. No need in the past.	Agency has 6 months to submit plan to show compliance with ADA act. Should be one barrier-free
Preferred Family Healthcare	N/A	2/25/2000	30-3.060(7)	Seeks waiver from barrier free rules.	3/3/2000	Approved	request. Early service delivery	Facility has agreed to an alternate plan for disabled residents.

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Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Preferred Family Healthcare	N/A	3/17/2000	30-3.950(1)(B)	Seeks waiver form barrier free rules for restroom..	4/7/2000	Approved	Temporary +alternative plan	For 6 mos. Will refer disabled to other site.
Preferred Family Healthcare	Marquit Crabtree	5/29/2000	30-4.034	Seeks waiver to be CSW without BA	6/13/2000	Denied	Does not have background for CSW duties.	Is LPN
Preferred Family Healthcare	Marsha Murphy	7/1/2000	10-5.190	Seeks waiver due to crime of armed robbery	8/4/2000	Denied	Agree- ment with Corrections - no staff on	Parolees are not to associate with other parolees
Preferred Family Healthcare	N/A	10/9/2000	30-3.950(1)(B)	Seeks extension of 6-month waiver granted 4/7/2000	12/1/2000	Approved	Request is tempory and provider has emergency	
Preferred Family Healthcare	N/A	12/20/2000	30-3.950(1)(B)	Seeks extension of 6-month waiver granted 4/7/2000 until 3/31/01	1/5/2001	Approved	Request is tempory and provider has emergency plans	Noted that no further extensions will be approved
Preferred Family Healthcare	Lavaughn Farmer	12/20/2001	10-5.190	Needs waiver due to disqualifying crimes of 1st degree robbery and manslaughter	2/1/2001	Denied	(1) only 2 years sobriety; and (2) violent criminal history over 20 years	
Preferred Family Health Care	Marsha Murphy	1/26/2004	40-5.190	Disqualified due to first degree robbery in 1994.	2/6/2004	Aproved with conditions		Applies to ADA clients only
Preferred Family Healthcare	Bobby Richardson	3/12/2004	10-5.190	Needs exception due to crime of vehiculr assault	3/5/2004	Approved	Volunteer postion	Must be supervised by paid staff
Preferred Family Healthcare	Feuerbach er							

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Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Preferred Family Healthcare	Linda Campbell	4/6/2001	3.850(51)	Lacks education requirements for CSW	5/4/2001	Approved	Has some college and 2 years experience	
Preferred Family Healthcare	Melinda Stephen	2/27/2001	3.890(12)(A)3	Lack experience to qualify as QSAC	4/6/2001	Denied	There is not enough relevant experience to warrant an	
Preferred Family Healthcare	Henry Daniels,	22-Mar-02	10-5.190	Convicted of attempted murder and aggravated battery	4/5/2002	Postponed - ask for more information	Crimes about 30 years ago but very serious- info sketchy and non official	No mitigating circumstance such as drug abuse followed by rehabilitation.ch as substance abuse
Preferred Family Healthcare	Stacey Russell	11/11/2002	30-3.110	Subject does not meet the requirements of QSAC	12/6/2002	Ask for more information	Committee needs more detail re nature of experience	
Preferred Family Healthcare	Stacey Russell	12/23/2002	30-3.110	Subject does not meet the requirements of QSAC	1/3/2003	Denied	Combination of education and experience not adequate to do job.	First considered in December; we asked for additional information
PR 31:10, Inc.	Kenneth Scott	7/10/2003	10-5.190	Disqualified due to conviction of 2nd degree robbery in 1985	7/11/2003	Deneid	Violent crime - vulnerable MRDD residents in community	

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Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Consi-dered	Decision	Rationale	Comments
PR 31:10, Inc.	Randall C. Johnson	7/10/2003	10-5.190	Disqualification due to 1st degree robbery in 1976 and 1st degree assault in 1990	7/11/2003	Deneid	Violent crimes - vulnerable MRDD residents in community	
Queen of Peace Center – Peace for Kids	N/A	5/19/1999	10-5.190	Exception needed to to provide C-STAR services to children without clinical reviews	6/4/1999	Approved		
Reality House Programs	N/A	9/21/1999	30-3.010 – 30-3.630	To be exempt from ADA standards in order to operate in a correctional setting	11/5/1999	Approved with conditions	Compliance not possible in a correctional setting	Agency will comply with draft corrections standards
Reality House Programs, Inc.		4/28/2003	10-7.120 (8)©	Request waiver of rule for 2 exits from each floor	5/2/2003	Exception not necessary	Agency has emergency exits	Emergency exits do not allow entrance from outside
Reality House Programs, Inc.		4/28/2003	10-7.020(4)(C)1	Request waiver of rule for clients to wear on program.	5/2/2003	Approved with condition	Clients in shock program required by court to wear yellow uniform	Limited to Community Shock Program as ordered by court
Reality House Programs, Inc.		4/28/2003	30-3.060 4(B)(1)	Request waiver of 4 to a bedroom rule	5/2/2003	Approved with condition	Clients in shock program will be present relatively shrot time.	Limited to 2 bedrooms for Community Shock Program .

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Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Consi-dered	Decision	Rationale	Comments
Reality House Programs, Inc.		12/4/2003	10-7.120 and 30-3.060	Second exit, clients wearing own clothes and	12/29/2003	approved	A corrections program	
Reality House Programs	N/A	12/4/2003	30-3.060(4)(B)1	To be exempt from ADA standards limiting bedrooms to four residents	2/6/2004	Approved with conditions	Compliance not possible in a correctional setting	
Reality House Programs, Inc.		12/4/2003	10-7.120 (8)(C)	Request waiver of rule for 2 exits from each floor	2/6/2004	Approved with conditions	Agency has emergency exits	
Reality House Programs, Inc.		12/4/2003	10-7.020(4)(C)1	Request waiver of rule for clients to wear own clothes.	2/6/2004	Approved with condition	Clients in shock program required by court to wear yellow uniform	
Renaissance West	N/A	6/15/1999	30- 3.850 (32)	Exception requested to provide C_STAR services to children who enter treatment with	7/2/1999	Approved		
Renaissance West	Cynthia Morrison	6/22/2000	10-5.190	Seeks waiver due to crime of 2nd degree assault	7/7/2000	Approved	Crime was 18 years ago with no criminal activity since.	Received 3 yrs suspended sentence
Renaissance West	Mose Stamps	4/30/2002	45-3.020.	Committee robbery 1 in 1969	5/3/2002	Postponed	No info about history since 1969	Asked provider to send Criminal Record History from SHP

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Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Consi-dered	Decision	Rationale	Comments
Renaissance West	Moses Stamps	5/28/2002	10-5.190	Disqualified due to robbery 1 in 1969 and burglary 2 in 1971	6/7/2002	approved	30 years since crimes	Usual lconditions
Renaissance West		8/23/2002	30-3.190	Want to avoid licensure of day care by Health	9/6/2002	denied	Safety etc of kids requires protection of licensure	Has no supervisor of day care. This needed to get day care license
Renaissance West		8/23/2002	10-7.120	Ceiling heights in bedrooms on 3rd floor of 4311 East Terace are 7"5"	9/6/2002	approved	Has been approved for several years.	Rules invites non-compliant existing facilities to apply for an exception.
Renaissance West		12/18/2002	30-3.190 (4) (A)	Wants waiver of rule requiring dacy care license from Health and Senior Services.	1/3/2003	Approved	Has letter from Health exempting from day care license	
Research Mental Health Services	Harry Golding	8/13/2001	30-4.034	waiver from training for Community Support	9/7/2001	Approved	Prior training is adequage	
Research Mental Health Services	James Cooper	8/13/2001	30-4.034	waiver from training for Community	9/7/2001	Approved	Prior training is adequage	

Exceptions Committee Log for Janaury 1999 to Present

Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Consi-dered	Decision	Rationale	Comments
Robert M. McKay	self	1/16/2002	10-5.200	Needs exemption to work due to crime of 1st degree robbery	2/1/2002	Denied	1. Some info in app no longer true. 2. Prior billing irregularities.3. No description of crime. 4. False alarms in 1990s. 5. Stabbed	Has own business - Innovative Education Programs and Services (IEP's)
Rolla Regional Center	N/A	10/30/2002	40-4.155	Lacks second exit from second floor		No exception necessary	Rule allows no second exit under specified circumstances	
Saddler Residential Care Facility II	LaTerryl Saddler	11-Mar-02	40-10.075 (2)	Lacks degree to be QMRP; states she will graduate in 11/02	4/5/2002	denied	No evidence studies are in relavant field; no provision for supervision by QMHP	No evidence that day program needed in that geographic area.
Samuel G. Smith Family Services Inc	Jack Rose	11/10/1999	10-5.190	Seeks waiver due to crime of first degree assault	12/3/1999	No decision	Need additional information	

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Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Saylor Treatment Home	N/A	7/30/1999	30-3.850 (25)	Waiver needed for dual use of space – day care in DMH licensed home.	8/12/1999	Approved with conditions		Vaild for current resident only. Monitor by survey.
Saylor Treatment Home	N/A	11/9/1999	40-2.075	To offer respite care in home licensed as foster family treatment home and by DOH	11/5/1999	Approved with conditions	Supported by Arthur Center and DMH regional manager	Conditions of home will not exceed DMH licensed capacity; respite not in same bedroom as other resident; no respite during day care
Sharon Wolford	N/A	7/19/1999	40-2.075	to operate day care in DMH licensed home.	8/6/1999	No decision		premature –preceding decision by licensure.
Sherwood Center	Norris Campbell	7/31/2000	10-5.190	to crime of voluntary manslaughter in '82	8/4/2000	No Decision	tion of the disqualify-ing crime was unclear.	Ask for more information
Sherwood Center	Norris Campbell	7/31/2000	10-5.190	to crime of voluntary manslaughter in	11/9/2000	Approved	Crime was 15 years ago	
Sigma House	Diane Luallen	6/27/2000	10-5.190	Seeks waiver due to crime felonius restraint in '81	8/4/2000	Approved	Crime was 15 years ago with no further criminal history.	
Sigma House	Henry Loucks	6/27/2000	10-5.190	Seeks waiver due to crime burglary in '60	8/4/2000	Not approved	Recent release. Stealing a problem in	

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Sigma House	James Peterson	9/3/2002	10-5.190	Committed aggravated assault in Texas in 1993	9/6/2002	Postponed	Only letter from Mr. Peterson.	Requested additional information in accordance with rule.
Sigma House	James Peterson		10-5.190	Disqualified due to commission of aggravated assault	10/4/2002	Requested add'l information	Lack 2 reference letters and work history	Sigma House has promised to employ subject.
Sigma House	James Peterson	10/17/2002	10-5.190	Disqualified due to crime of aggravated assault		postponed	requested add'l info	Were gaps in the employment history
Sigma House	James Peterson	11/20/2002	10-5.190	Needs exception due to crime of assault	12/6/2002	Approved	Crime is 10 years old -did rehab - off probation	1 year only and other usual conditions
Southwest Missouri Community Treatment Center,	Earnie D. Wilson	10/25/2000	30-3.850(55)	Needs exception to be CSW without being qualified by rule.	11/9/2000	Approved	Has two years RN degree and 2 years experience	Note: Subject denied earlier due to inadequate information
South Central MO Rehabilitation Center	Maxine King	7/5/2002	none	Wants department to waive requirements for quality of care funds	8/2/2002	Committee has no jurisdiction	This is a contractual issue; no administrative rule involved	
Southern Missouri Supported Living		8/29/2003	40	Direct staff has no HS diploma or Ged	9/5/2003	Referred to regional center	EC has authority only over DMH administrative rules	The regulation in question is a Med waiver guideline. Referred to regional center

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Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Special 'T' Acres	Jesse Penley	6/7/1999	10-5.190	Exception needed due to crime of felony arson	8/12/1999	Denied		
St. Louis County Justice Services	Floyd K. Mitchel	9/28/2001	10-5.190	disqualified from employment due to crime of manslaughter	12/7/2001	Approved	Crime over 30 years ago - no recurrence of crime	
St. Patrick +A8Center	Jerry Peterson	3/8/1999	10-5.190	Exception needed due to crime of 1st and 2nd degree robberies	4/2/1999	Denied		
St. Patrick Partnership Center	N/A	6/22/2000	10.015(3)(D)	Wishes to count urinals as meeting part of toilet requirements	7/7/2000	Approved	availability of restrooms facilities meets spirit of rule	
St. Peters Adult Health Center		7/25/2002	40-8.075	Wants only one person on duty at night	8/2/2002	postponed	Need more information on the intent of the rule and the functioning level of the residents	
St. Peters Health Center	N/A	7/25/2002	40-8	Waiver of two people on duty at all times	9/6/2002	Postponed	Requested more information	1 staff nearby- but how to summon
St. Peters Adult Health Center		11/1/2002	10-5.210	To inform committee that staffing exception, already issued is not needed	12/6/2002	Not applicable	Exception not necessary	

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Missouri Community Treatment Center, Inc.	Donne Alexander	8/7/2001	30-4.034(1)(H)1.	Waver BA requirement for Community Support Worker	9/7/2001	Approved	Will be eligible with four more months experience	
Southeast Missouri Mental Health Center	Janet Petty	9/4/2002	30-3.110(11)(E)	To allow employee be QMRP without degree	9/6/2002	No decision necessary	Committee says worker qualified by combination of education and experience	Worker graduates in related field in 10-02
Southeast MO Community Teratment Center	Stephen Halbrook	5/5/2003	10-7.140(2)(Q)	Waiverof requirements for Qualified Substance Abuse Counselor	6/6/2003	Denied	Education and experience not adequate	
Swope Parkway Health Center	Doris Jackson	11/24/2003	10-5.190	Committed 2 felony robberies	12/5/2003	Approved	Conditions: Same employment-same agency	Several persuasive reference letters
Swope Parkway Health Center	Doris Jackson	9/5/2003	10-5.190	Disqualified due to 2 feloniew robberies	10/17/2003	Denied	CHR shows long criminal record	Last crime -drug related- disposed in January 2001
Swope Parkway Health Center	Doris Jackson	11/24/2003	10-5.190	On dmh disqualification registry due to Class I Neglect	12/5/2003	Approved	Conditions: Same employment-same agency	Several persuasive reference letters
Swope Health Services Imani House For Addictions	Danielle Foster	5/24/2004	9 CSR 3.140	Requirement for 2 staff on duty	6/4/2004	denied	Only 1 staff on duty on night is considered unsafe	

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Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Tarkio Academy	N/A	11/8/2000	3.060(3)(F)	Wants waiver from requirement to have self-closing bedroom doors	5/4/2001	Approved	NO DMH funding. Exception defers to DFS licensure	Must reapply for exception if wants DMH funds.
The Dream Works	Randall Vaught	1/17/2003	45	to be a QMRP	2/7/2003	Denied	Inadequate education and experience	Degree in Business +2 yrs experience
The Dream Works	Mr. Ed McInnis	2/26/2003	45	Subject lacks qualifications for QMRP	3/7/2003	Approved with conditions	Degree is in business but minor in education. Extensive experience	
The Nesting Place, Inc.	Holly Logan	5/16/2003	42CFR483.430	Waiver of QMRP requirements	6/6/2003	Denied	Education and experience not adequate	
The Salvation Army	Mary Willie Ware	6/28/1999	30-3.850 (25)	Employee lacks qualifications for Family Therapist	8/12/1999	Denied		
The Salvation Army	Alton Burden	8/20/1999	10-5.190	Exception needed due to crime of armed robbery	9/3/1999	Approved with conditions		Exception applies to ADA only. Monitor by survey.
Theresa's Tender Care	Markita Melton	10/27/2003	42CFR483.430	wants waive of QMHP qualification	11/7/2003	Denied	Level of education and experience not commensurate with QMRP duties	

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Agency	Employee	Date of Request	Rule # under 9 CSR	Content of Request	Date Considered	Decision	Rationale	Comments
Townhouse RCF I		6/16/2003	40-2.075	operate respite services on premises of licensed facility	7/11/2003	Approved	Description of respite is approvable under the rule	
tnc Community	Shaniel Barnes	5/28/2002	45-3.010	Degree in Business Administration with minor in secondary education	6/7/2002	denied	Inadequate background in human service field	
Tri-County Mental health Services	N/A	8/26/1998	30-4.034 (2)(C)	Supervisor/community support worker ratio. Has 1:8 instead of required is 1:5	1/15/1999	Approved with conditions		Expires with implementation of new rules
Tri-County Mental health Services	Nicki Sutton	5/2/2001	30-4.034(1)(H)2	Lack training required for community support assistant	5/4/2001	Approved	Has received similar training at Long View College	
Triple J Boys Ranchj	N/A	4/16/2001	40-4 and 40-5	Arrangement was to serve 3 additional respite clients	5/4/2001	Denied	FLA rules inadequate for home of six residents	
Truman Medical Centers Behavioral Health Network	Marsha Morgan	8/28/2002	10-5.190	screening and disqualification requirements in Vocational Program	9/6/2002	denied	EC has no authority to exempt agency from statutory requirements	

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University Hospital and Clinics	Gus Mills	12/27/2001	10-5.190	Has NGRI re: felony assault. DMH attorney states exceptions needed to work in the Community	1/5/2001	Approved with conditions	Must continue in treatment; will notify DMH if approved for conditional release	
University Physicians, Behavioral Health Services	Amy Guffy	11/19/2001	30-4.034 (2)(H)1	Employee lacks masters degree to serve as CSW supervisor	12/7/2001	Denied	Supervisor must be QMHP. Responsibilities and priveleges of	CPS to work out agreement where Ms. Guffy can do practicum to prepare for masters in June
Walgren, Bob and Jane	N/A	8/31/2000	40-6.015(5)	Seeks waiver of bedroom window requirement	12/1/2000	Decision Denied	Not approved by fire marshal inspector	
Walgren Group Home	N/A	1/11/2002	40-6.015(6)	Wants to use windowless basement bedrooms	2/1/2002	No decision	Need more info about DFS license and layout of basement.	
Walgren Group Home	N/A	1/11/2002	40-6.015(6)	Use windowless basement bedrooms	3/1/2002	Approved	Aproved by fire marshal. Basement well lit with outside doors	
N/A	Wynette D. Simmons		10-5.190	Crime of manslaughter	6/1/2001	No decision	More information requested	

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TCM Behavioral Health	Martha Reed	18-Apr-01	30-4.034	Requests exemption from training required for Community Support Assisnt	6/1/2001	Approved	Received equivalent training at Long View College in	
The Dream Works	Randall Vaught	1/17/2003	45	to be a QMRP	2/7/2003	Denied	Inadequate education and experience	Degree in Business +2 yrs experience
The Dream Works	Mr. Ed McInnis	2/26/2003	45	Subject lacks qualifications for QMRP	3/7/2003	Approved with conditions	Degree is in business but minor in education. Extensive experience	
Tri-County Mental Health Services	N/A	3/25/2003	10-7.120	Facility lack handicapped bathroom	4/4/2003	Approved with conditions	Small facility - other facilities that comply in area	2 years to achieve compliance
Truman Neurological Center	Shannon Maloney	3/19/2004	42CFR483.430	QMRP requirements	3/5/2004	Not considered	Subject meets literal requirements of QMRP	
	Stacy Dawson	10/4/2001	10-5.190	disqualified due to crime of unlawful use of weapon	10/5/2001	No decision	Crime listed is not disqualifying	
	Elmer Davis	1/16,2004	10-5.190	Disqualified due to first degree robbery in 1968	2/6/2004	Approved with conditions	Crime was in 1968.Released from parole in 1996	Condition: ADA clients only

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	Nancy Howard	1/5/2004		On DMH disqualification registry due to Class I neglect ion 1993	2/6/2004	Approved	Strong mitigating circumstances	Also applied with DHSS. Committee recommended DHSS approve
	Eric Jones	10/4/2001	10-5.190	due to crime of tampering with a motor vehicle	10/5/2001	No decision	Crime listed is not disqualifying	
	Louis Gamble	2/16/2003	10-5.190	Subject disqualified due to felony child molestation	3/7/2003	Denied	Statute and rule disallow exception for this crime	
	Rebecca Schaller	3/10/2003	10-5.200	Subject wants name of DMH disqualification list	4/4/2003	No decision	No authority to waive statute	Disqualification is in 630.170 RSMo